IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PARKHURST & WENDEL, L.L.P.

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Prior Application:

Date: November 5, 2003

Examiner: Paul W. Huber

Attorney Docket No.: HYAE: 095D

Group Art Unit: 2653

Mail Stop PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This is a

- [] Continued Prosecution Application (CPA) under 37 CFR §1.53(d);
- Request for Continued Examination (RCE) under 37 CFR §1.114 (prosecution of the application is closed in the sense of 37 CFR §1.114(b));
- [x] Divisional Application (parent not abandoned) under 37 CFR §1.53(b);
- Divisional Application (parent being abandoned) under 37 CFR [] §1.53(d);

of pending prior application Serial No. 10/238,587, filed September 11, 2002

for [Title as filed]: OPTICAL DISK APPARATUS

[Amended Title]: OPTICAL DISK APPARATUS HAVING COMPENSATION FOR OBJECTIVE LENS DISLOCATION

by [Inventors]: Yasuhito SOMA; Akira MATSUBARA; and Kazuhiko KOUNO

- 1. A copy of the prior application is attached. This copy comprises a true copy of the prior application as filed (specification, claims, drawings, declaration). No amendments referred to in the declaration (if any) filed to complete the prior application introduced new matter therein.
- Abandon said prior application as of the filing date accorded 2. [] this application. **AUTHORIZATION TO DEBIT**

OR CREDIT FEES TO DEP ACCT. 16-0331

PARILITIEST & WENDEL

3. [x] The filing fee is calculated below:

CLAIMS REMAINING IN AN APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT OR AMENDMENT UNDER 37 CFR §1.116 NOTED BELOW

Basic Fee \$770.00

Total claims 3 -20 = 0 x \$18.00 (* 9.00) =

Independent claims 2 - 3 = 0 x \$86.00 (*43.00) =

Total Filing Fee..... = \$770.00

- 4. [*] A check in the amount of \$770.00 is enclosed (CK# 17059).

 THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY OTHER FEES WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 160331.
- 5. [x] Cancel in this application claims 2-8 of the prior application before calculating the filing fee. At least one independent claim is retained for filing purposes.
- 6. [x] Amend the specification by inserting before the first line the sentence:
 - --This is a divisional of application Serial No. 10/238,587 filed September 11, 2002 which in turn is a continuation of 09/492,269 filed January 27, 2000, now U.S. Patent 6,473,373.--
- 7. [] Please enter in the present application the Amendment Under 37 CFR §1.116 with any attachments filed on in this application which was not entered therein.
- 8. [x] Priority of foreign application(s) No. <u>11-018363</u>, filed <u>January 27, 1999</u>; in <u>Japan</u> is claimed under 35 U.S.C. §119.
 - [x] The certified copy was filed in prior application No. 09/492,269 on March 2, 2000.
 - [] A certified copy of the above corresponding foreign application is filed herewith.
- 9. [x] The prior application is assigned of record to <u>MATSUSHITA</u> <u>ELECTRIC INDUSTRIAL CO., LTD.</u> Recorded at Reel <u>10590</u>, Frame <u>282</u>.

- 10. [x] The power of attorney in the prior application is to Roger W. Parkhurst, Registration No. 25,177 and Charles A. Wendel, Registration No. 24,453:
 - [x] a. The power appears in the original papers in the prior application.
 - [] b. Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
 - [x] c. Address all future communications to

PARKHURST & WENDEL, L.L.P. 1421 Prince Street, Suite 210 Alexandria, Virginia 22314-2805 Telephone: (703) 739-0220.

- 11. [x] An Application Data Sheet is enclosed.
- 12. [x] A Preliminary Amendment is enclosed herewith.
- 13. [x] An Information Disclosure Statement is enclosed.
- 14. [x] This application is not entitled to Small Entity Status.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Roger W. Parkhurst

Registration No. 25,177

Robert N. Wieland

Registration No. 40,225

(rev. 10/03)